ON THE COMPLETENESS OF A SYSTEM OF DECREASING ELEMENTARY SOLUTIONS FOR ONE CLASS OF FOURTH-ORDER OPERATOR-DIFFERENTIAL EQUATIONS ON THE SEMI-AXIS

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Abstract. In this work, we study a homogeneous fourth-order elliptic operator-differential equation with an unbounded operator under non-homogeneous boundary conditions on the semi-axis. We obtain sufficient conditions for the completeness of decreasing elementary solutions of the equation under study in the space of all its solutions from a fourth-order Sobolev type space.

Keywords: polynomial operator pencil, self-adjoint operator, operator-differential equation, derivative chain of eigen- and adjoined vectors, system of decreasing elementary solutions

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1. Introduction

In a separable Hilbert space H, consider a fourth-order polynomial operator pencil

$$P(\lambda) = \lambda^{4} E + A^{4} + \sum_{j=1}^{4} \lambda^{4-j} A_{j}, \qquad (1)$$

where λ is the spectral parameter, E is the unit operator, A is a self-adjoint positive definite operator $(A = A^* \ge cE, c > 0)$ with a completely continuous inverse operator A^{-1} , and the operators A_j , j = 1, 2, 3, 4, are such that $A_j A^{-j}$, j = 1, 2, 3, 4, are bounded in H.

As is known, the domain of definition of the operator A^{α} ($\alpha \geq 0$) becomes the Hilbert space H_{α} with respect to the scalar product $(x, y)_{\alpha} = (A^{\alpha}x, A^{\alpha}y), x, y \in D(A^{\alpha})$; for $\alpha = 0$ we assume $H_0 = H$.

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Below we use the notation from [1].

Let us denote by $L_2(\mathbb{R}_+; H)$ the Hilbert space of all vector-functions f(t), defined almost everywhere in $\mathbb{R}_+ = (0, +\infty)$, with values in H and quadratically integrable in \mathbb{R}_+ , and

$$\|f\|_{L_2(\mathbb{R}_+;H)} = \left(\int_0^{+\infty} \|f(t)\|^2 \, dt\right)^{1/2} < +\infty$$

Following the book [6], we introduce the Hilbert space of vector-functions

$$W_2^4(\mathbb{R}_+; H) = \left\{ u(t) : A^4 u(t) \in L_2(\mathbb{R}_+; H), \ u^{(4)}(t) \in L_2(\mathbb{R}_+; H) \right\}$$

with the norm

$$\|u\|_{W_{2}^{4}(\mathbb{R}_{+};H)} = \left(\left\|A^{4}u\right\|_{L_{2}(\mathbb{R}_{+};H)}^{2} + \left\|u^{(4)}\right\|_{L_{2}(\mathbb{R}_{+};H)}^{2} \right)^{1/2}$$

Here and further, derivatives are understood in the sense of distribution theory [6].

Further, by L(X, Y) we mean the set of linear bounded operators acting from a Hilbert space X to another Hilbert space Y. Let us fix some operator $K \in L\left(H_{\frac{5}{2}}, H_{\frac{3}{2}}\right)$ and define a subspace in $W_2^4(\mathbb{R}_+; H)$:

$$W_{2,K}^4\left(\mathbb{R}_+;H\right) = \left\{ u(t): u(t) \in W_2^4\left(\mathbb{R}_+;H\right), \ u(0) = 0, \ u''(0) = Ku'(0) \right\}.$$

From the trace theorem [6] it follows that $W_{2,K}^4(\mathbb{R}_+; H)$ is defined correctly. We associate the boundary-value problem with pencil (1)

$$P\left(\frac{d}{dt}\right)u(t) = 0, \quad t \in \mathbb{R}_+,\tag{2}$$

$$u(0) = \varphi, \ u''(0) - Ku'(0) = \psi, \ \varphi \in H_{\frac{7}{2}}, \ \psi \in H_{\frac{3}{2}}, \ K \in L\left(H_{\frac{5}{2}}, H_{\frac{3}{2}}\right).$$
(3)

Definition 1. If for any $\varphi \in H_{\frac{7}{2}}$, $\psi \in H_{\frac{3}{2}}$ one can find a vector-function $u(t) \in W_2^4(\mathbb{R}_+; H)$ satisfying equation (2) almost everywhere in \mathbb{R}_+ , as well as the boundary conditions (in the sense of convergence)

$$\lim_{t \to +0} \|u(t) - \varphi\|_{H_{\frac{7}{2}}} = 0, \quad \lim_{t \to +0} \|u''(t) - Ku'(t) - \psi\|_{H_{\frac{3}{2}}} = 0$$

and the assessment takes place

$$\|u\|_{W_{2}^{4}(\mathbb{R}_{+};H)} \leq const\left(\|\varphi\|_{H_{\frac{7}{2}}} + \|\psi\|_{H_{\frac{3}{2}}}\right),$$

then we will say that the boundary-value problem (2), (3) is regularly solvable, and u(t) is called a regular solution of the boundary-value problem (2), (3).

Definition 2. If the equation $P(\lambda_0) x_0 = 0$ has a non-trivial solution x_0 , then λ_0 is called the eigenvalue of the operator pencil $P(\lambda)$, and x_0 – eigenvector of the operator pencil $P(\lambda)$ corresponding to the eigenvalue λ_0 .

Definition 3. Let λ_0 be an eigenvalue and x_0 be one of the eigenvectors corresponding to the value λ_0 . If, for the vectors x_1, x_2, \ldots, x_m , the following equalities are satisfied

$$\sum_{k=0}^{4} \left. \frac{1}{k!} \frac{d^{k} P(\lambda)}{d\lambda^{k}} \right|_{\lambda=\lambda_{0}} x_{p-k} = 0,$$

= 0, 1, 2, ..., m $(x_{-1} = x_{-2} = x_{-3} = x_{-4} = 0),$

then the system of vectors x_1, x_2, \ldots, x_m is called a chain of adjoined vectors to the eigenvector x_0 .

Let us denote by $\sigma_{\infty}(H)$ the set of completely continuous operators acting in H. If $Q \in \sigma_{\infty}(H)$, then $(Q^*Q)^{1/2}$ is a completely continuous self-adjoint operator in H. The eigenvalues of the operator $(Q^*Q)^{1/2}$ are called *s*-numbers of the operator Q. Let us number the non-zero *s*-numbers of the operator Q in descending order, taking into account their multiplicity and denote

$$\sigma_p = \left\{ Q : Q \in \sigma_{\infty}(H), \sum_{j=1}^{\infty} s_j^p(Q) < \infty \right\} \quad (0 < p < \infty).$$

From the results of [5] and the assumptions that $A^{-1} \in \sigma_{\infty}(H)$, $A_j A^{-j} \in L(H, H)$, $j = 1, 2, 3, 4, (E + A_4 A^{-4})^{-1} \in L(H, H)$ it follows that the spectrum of the pencil $P(\lambda)$ is discrete, which means the existence of the resolvent $P^{-1}(\lambda)$ for all $\lambda \in \mathbb{C}$, with the exception of the set of isolated eigenvalues $\{\lambda_n\}$, which can only have a limit point at infinity.

According to [5], each eigenvalue λ_n can be adjoined with a canonical system of eigenand adjoined vectors $x_{0,n}$, $x_{1,n}$, ..., $x_{m,n}$ of the pencil $P(\lambda)$. Then the functions

$$u_{h,n}(t) = e^{\lambda_n t} \left(x_{h,n} + \frac{t}{1!} x_{h-1,n} + \dots + \frac{t^h}{h!} x_{0,n} \right), \quad h = 0, 1, \dots, m,$$
(4)

satisfy equation (2) and are called its elementary solutions. It is clear that for $Re\lambda_n < 0$ these solutions decrease and belong to the space $W_2^4(\mathbb{R}_+; H)$.

In this paper, we obtain conditions for the completeness of decreasing elementary solutions of equation (2) in the space of all regular solutions of the boundary-value problem (2), (3).

Note that the completeness of elementary solutions in some solution spaces was previously indicated in [2]-[4], [10]-[15].

2. Main Results

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In [1], the regular solvability of boundary-value problem (2) and (3) was established.

Theorem 1. Let $A = A^* \ge cE$, c > 0, $B = A^{\frac{3}{2}}KA^{\frac{-5}{2}}$, $ReB \ge 0$, $A_jA^{-j} \in L(H, H)$, j = 1, 2, 3, 4, and the inequality holds $\sum_{j=1}^{4} c_j ||A_jA^{-j}||_{H \to H} < 1$, where $c_1 = 1, c_2 = \frac{1}{2}$, $c_3 = \frac{1}{\sqrt{2}}, c_4 = 1$. Then the boundary-value problem (2), (3) is regularly solvable.

If we take K = 0 in the boundary conditions (3), then they will be rewritten in the form

$$u(0) = \varphi, \ u''(0) = \psi, \ \varphi \in H_{\frac{7}{2}}, \ \psi \in H_{\frac{3}{2}}.$$
 (5)

Note that the same Theorem 1 for the boundary-value problem (2), (5) is given in [8].

Using solutions (4) for $Re\lambda_n < 0$, we determine the vector

$$\widetilde{x}_{h,n}^{(0,2)} = \left\{ x_{h,n}^{(0)}, x_{h,n}^{(2)} \right\} \in H_{7/2} \oplus H_{3/2}$$

where

$$x_{h,n}^{(0)} \equiv u_{h,n}(0), \quad x_{h,n}^{(2)} \equiv u_{h,n}^{''}(0), \quad h = 0, 1, \dots, m.$$

We will call the system $\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}$ a derivative chain of eigen- and adjoined vectors of the operator pencil $P(\lambda)$ generated by the boundary-value problem (2), (5).

Note that in [9, p. 152-154] under conditions $A = A^* \ge cE, c > 0, A^{-1} \in \sigma_{\infty}(H), A_j A^{-j} \in L(H, H), j = 1, 2, 3, 4, \sum_{j=1}^{4} c_j \|A_j A^{-j}\|_{H \to H} < 1$, where $c_1 = 1, c_2 = \frac{1}{2}, c_3 = \frac{1}{\sqrt{2}}, c_4 = 1, (E + A_4 A^{-4})^{-1} \in L(H, H)$ and fulfillment of one of the conditions $A^{-1} \in \sigma_p, 0 or <math>A^{-1} \in \sigma_p, 0 , the completeness of the derivative chain <math>\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}$ has been proven in the space $H_{7/2} \oplus H_{3/2}.$

Let us define the following derivative chain:

$$\left\{x_{h,n}^{(0,2,1)}\right\}, \ x_{h,n}^{(0,2,1)} = \left\{x_{h,n}^{(0)}, \ x_{h,n}^{(2,1)}\right\} \in H_{7/2} \oplus H_{3/2},$$
$$x_{h,n}^{(0)} = u_{h,n}(0), \ x_{h,n}^{(2,1)} \equiv x_{h,n}^{(2)} - Kx_{h,n}^{(1)} = u_{h,n}'(0) - Ku_{h,n}'(0), \ K \in L\left(H_{\frac{5}{2}}, H_{\frac{3}{2}}\right)$$

It is obvious that the derivative chain $\left\{x_{h,n}^{(0,2,1)}\right\}$ corresponds to the boundary-value problem (2), (3).

It is known [7, p. 126], that to prove the completeness of the derivative chain $\left\{x_{h,n}^{(0,2,1)}\right\}$ in the space $H_{7/2} \oplus H_{3/2}$, it is sufficient to show its equivalence to the derivative chain $\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}$.

As noted above, the existence of a unique regular solution u(t) of the boundary-value problem (2), (3) for any $\varphi \in H_{\frac{\tau}{2}}$, $\psi \in H_{\frac{3}{2}}$, was proven in [1] under the conditions of Theorem 1. And in [8], under the same conditions of Theorem 1, the existence of a

unique regular solution $\tilde{u}(t)$ of the boundary-value problem (2), (5) was proven for any $\varphi \in H_{\frac{7}{2}}, \ \widetilde{\psi} \in H_{\frac{3}{2}}$, in case K = 0. Then the inequalities hold

$$\begin{split} \|u\|_{W_2^4(\mathbb{R}_+;H)} &\leq const\left(\|\varphi\|_{H_{\frac{7}{2}}} + \|\psi\|_{H_{\frac{3}{2}}}\right),\\ \|\widetilde{u}\|_{W_2^4(\mathbb{R}_+;H)} &\leq const\left(\|\varphi\|_{H_{\frac{7}{2}}} + \left\|\widetilde{\psi}\right\|_{H_{\frac{3}{2}}}\right). \end{split}$$

On the other hand, by the trace theorem [6], the following inequalities are true:

$$\begin{split} \|\varphi\|_{H_{\frac{7}{2}}} + \|\psi\|_{H_{\frac{3}{2}}} &\leq \operatorname{const} \|u\|_{W_{2}^{4}(\mathbb{R}_{+};H)}, \\ \|\varphi\|_{H_{\frac{7}{2}}} + \left\|\widetilde{\psi}\right\|_{H_{\frac{3}{2}}} &\leq \operatorname{const} \|\widetilde{u}\|_{W_{2}^{4}(\mathbb{R}_{+};H)}. \end{split}$$

To prove the completeness of the chain $\left\{x_{h,n}^{(0,2,1)}\right\}$, we find a bounded invertible operator acting in the space $H_{7/2} \oplus H_{3/2}$ and transferring the chain $\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}$ to $\left\{x_{h,n}^{(0,2,1)}\right\}$. Obviously, for $\left\{x_{h,n}^{(0)}, x_{h,n}^{(2)}\right\} \in H_{7/2} \oplus H_{3/2}$ the vector-function $u_{h,n}(t)$ is a solution to equation (2) with boundary conditions

$$u_{h,n}(0) = x_{h,n}^{(0)}, \ u_{h,n}^{\prime\prime}(0) = x_{h,n}^{(2)}$$

That is why

$$\|u_{h,n}\|_{W_{2}^{4}(\mathbb{R}_{+};H)} \leq c_{1} \left(\left\| x_{h,n}^{(0)} \right\|_{H_{\frac{7}{2}}} + \left\| x_{h,n}^{(2)} \right\|_{H_{\frac{3}{2}}} \right).$$

And by the trace theorem [6]

$$\|u_{h,n}\|_{W_{2}^{4}(\mathbb{R}_{+};H)} \ge c_{2} \left(\left\| x_{h,n}^{(0)} \right\|_{H_{\frac{7}{2}}} + \left\| x_{h,n}^{(2)} \right\|_{H_{\frac{3}{2}}} \right)$$

Similarly, for $\left\{x_{h,n}^{(0)}, x_{h,n}^{(2,1)}\right\} \in H_{7/2} \oplus H_{3/2}$ the vector-function $u_{h,n}(t)$ is a solution to equation (2) with the boundary conditions

$$u_{h,n}(0) = x_{h,n}^{(0)}, \quad u_{h,n}^{\prime\prime}(0) - Ku_{h,n}^{\prime}(0) = x_{h,n}^{(2)} - Kx_{h,n}^{(1)} = x_{h,n}^{(2,1)},$$

at that

$$\|u_{h,n}\|_{W_{2}^{4}(\mathbb{R}_{+};H)} \leq d_{1} \left(\left\| x_{h,n}^{(0)} \right\|_{H_{\frac{7}{2}}} + \left\| x_{h,n}^{(2,1)} \right\|_{H_{\frac{3}{2}}} \right).$$

And based on the trace theorem [6], we have

$$\|u_{h,n}\|_{W_{2}^{4}(\mathbb{R}_{+};H)} \ge d_{2} \left(\left\| x_{h,n}^{(0)} \right\|_{H_{\frac{7}{2}}} + \left\| x_{h,n}^{(2,1)} \right\|_{H_{\frac{3}{2}}} \right)$$

Now for all $\left\{x_{h,n}^{(0)}, x_{h,n}^{(2)}\right\}$ we define the operator S acting in the space $H_{7/2} \oplus H_{3/2}$ as follows:

$$S : H_{7/2} \oplus H_{3/2} \to H_{7/2} \oplus H_{3/2},$$
$$S\left(\left\{x_{h,n}^{(0)}, x_{h,n}^{(2)}\right\}\right) = \left\{x_{h,n}^{(0)}, x_{h,n}^{(2,1)}\right\}$$

It is obvious that

$$\begin{split} \left\| S\left(\left\{ x_{h,n}^{(0)}, x_{h,n}^{(2)} \right\} \right) \right\|_{H_{7/2} \oplus H_{3/2}} &= \left\| \left\{ x_{h,n}^{(0)}, x_{h,n}^{(2,1)} \right\} \right\|_{H_{7/2} \oplus H_{3/2}} = \\ &= \left\| x_{h,n}^{(0)} \right\|_{H_{\frac{7}{2}}} + \left\| x_{h,n}^{(2,1)} \right\|_{H_{\frac{3}{2}}} \le \frac{1}{d_2} \left\| u_{h,n} \right\|_{W_2^4(\mathbb{R}_+;H)} \le \\ &\le \frac{c_1}{d_2} \left(\left\| x_{h,n}^{(0)} \right\|_{H_{\frac{7}{2}}} + \left\| x_{h,n}^{(2)} \right\|_{H_{\frac{3}{2}}} \right) = \frac{c_1}{d_2} \left\| \left\{ x_{h,n}^{(0)}, x_{h,n}^{(2)} \right\} \right\|_{H_{7/2} \oplus H_{3/2}}. \end{split}$$

Consequently, the operator S is defined on the everywhere dense set $\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}$ (completeness of the derivative chain $\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}$ in the space $H_{7/2} \oplus H_{3/2}$ was proven in [9]) and bounded. Therefore, it can be extended by continuity to the entire space $H_{7/2} \oplus H_{3/2}$. On the other hand, it is clear that $S(\{0, 0\}) = \{0, 0\}$. From this it follows that S is one-to-one, i.e., from any $\{x_{h,n}^{(0)}, x_{h,n}^{(2,1)}\}$ there exists $\{x_{h,n}^{(0)}, x_{h,n}^{(2)}\}$, such that $S\left(\left\{x_{h,n}^{(0)}, x_{h,n}^{(2)}\right\}\right) = \left\{x_{h,n}^{(0)}, x_{h,n}^{(2,1)}\right\}.$ As a result, the operator $S : H_{7/2} \oplus H_{3/2} \to H_{7/2} \oplus H_{3/2}$ is one-to-one and continuous. Then S^{-1} is also a bounded operator.

Thus, since the derivative chain $\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}$ is complete in the space $H_{7/2} \oplus H_{3/2}$ and $S\left(\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}\right) = \left\{x_{h,n}^{(0,2,1)}\right\}$, then we obtain that the derivative chain $\left\{x_{h,n}^{(0,2,1)}\right\}$ is also a complete system in the space $H_{7/2} \oplus H_{3/2}$.

The above reasoning allows us to formulate the main result of the work.

Theorem 1 establishes sufficient conditions to ensure the existence of a unique solution from the space $W_2^4(\mathbb{R}_+; H)$ for the boundary-value problem (2), (3) for any $\varphi \in H_{7/2}$, $\psi \in H_{3/2}$. We denote the set of all such solutions by $W^{(0,2,1)}(P)$. From the theorem on intermediate derivatives and the trace theorem [6] it follows that the set $W^{(0,2,1)}(P)$ is a closed subspace of the space $W_2^4(\mathbb{R}_+; H)$. Now we prove the completeness of the system of decreasing elementary solutions of equation (2) in the space $W^{(0,2,1)}(P)$.

The following theorem holds.

Theorem 2. Let all the conditions of Theorem 1 be satisfied and $(E + A_4 A^{-4})^{-1} \in$ L(H, H). In addition, one of the following conditions is true:

a) $A^{-1} \in \sigma_p, \ 0$

b) $A^{-1} \in \sigma_p, \ 0$

Then the system of decreasing elementary solutions to the boundary value problem (2), (3) is complete in the space $W^{(0,2,1)}(P)$.

Proof. As noted, the decreasing elementary solution $u_{h,n}(t)$ has the representation

$$u_{h,n}(t) = e^{\lambda_n t} \left(x_{h,n} + \frac{t}{1!} x_{h-1,n} + \dots + \frac{t^h}{h!} x_{0,n} \right), \quad h = 0, 1, \dots, m,$$

where $Re\lambda_n < 0$, and $x_{0,n}, x_{1,n}, \ldots, x_{m,n}$ is the canonical system of eigen- and adjoined vectors corresponding to the eigenvalue λ_n .

Taking into account that $K \in L\left(H_{\frac{5}{2}}, H_{\frac{3}{2}}\right)$, by the trace theorem [6], for any $u(t) \in W_2^4(\mathbb{R}_+; H)$ we obtain:

$$\begin{split} \|u(0)\|_{H_{\frac{7}{2}}} + \|u''(0) - Ku'(0)\|_{H_{\frac{3}{2}}} \leq \\ \leq \|u(0)\|_{H_{\frac{7}{2}}} + \|u''(0)\|_{H_{\frac{3}{2}}} + \|Ku'(0)\|_{H_{\frac{3}{2}}} \leq const \, \|u\|_{W_{2}^{4}(\mathbb{R}_{+};H)} \end{split}$$

On the other hand, from the uniqueness of solutions to the boundary-value problem (2), (3) (see Theorem 1) we have

$$\|u\|_{W_{2}^{4}(\mathbb{R}_{+};H)} \leq const\left(\|\varphi\|_{H_{\frac{7}{2}}} + \|\psi\|_{H_{\frac{3}{2}}}\right).$$
(6)

If we take into account the conditions of the theorem and the equivalence of systems $\left\{\widetilde{x}_{h,n}^{(0,2)}\right\}$ and $\left\{x_{h,n}^{(0,2,1)}\right\}$ in the space $H_{7/2} \oplus H_{3/2}$, then we can say that the derivative chain $\left\{x_{h,n}^{(0,2,1)}\right\}$ is complete in the space $H_{7/2} \oplus H_{3/2}$, i.e., for any $\varepsilon > 0$ there exist a number N and numbers $c_{h,n}^N$ such that

$$\left\|\varphi - \sum_{n=1}^{N} \sum_{h} c_{h,n}^{N} x_{h,n}^{(0)}\right\|_{H_{\frac{7}{2}}} < \varepsilon,$$

$$\tag{7}$$

$$\left\| \psi - \sum_{n=1}^{N} \sum_{h} c_{h,n}^{N} x_{h,n}^{(2,1)} \right\|_{H_{\frac{3}{2}}} < \varepsilon.$$
(8)

Because $x_{h,n}^{(0)} = u_{h,n}(0), \ x_{h,n}^{(2,1)} = x_{h,n}^{(2)} - Kx_{h,n}^{(1)} = u_{h,n}''(0) - Ku_{h,n}'(0), \ \varphi = u(0),$ $\psi = u''(0) - Ku'(0),$ then for the solution $u(t) - \sum_{n=1}^{N} \sum_{h=1}^{N} c_{h,n}^{N} u_{h,n}(t)$ due to inequality (6) we have:

$$\left\| u(t) - \sum_{n=1}^{N} \sum_{h} c_{h,n}^{N} u_{h,n}(t) \right\|_{W_{2}^{4}(\mathbb{R}_{+};H)} \leq \\ \leq const \left(\left\| \varphi - \sum_{n=1}^{N} \sum_{h} c_{h,n}^{N} x_{h,n}^{(0)} \right\|_{H_{\frac{7}{2}}} + \left\| \psi - \sum_{n=1}^{N} \sum_{h} c_{h,n}^{N} x_{h,n}^{(2,1)} \right\|_{H_{\frac{3}{2}}} \right).$$
(9)

Now, if we take into account inequalities (7) and (8) in inequality (9), we obtain:

$$\left\| u(t) - \sum_{n=1}^{N} \sum_{h} c_{h,n}^{N} u_{h,n}(t) \right\|_{W_{2}^{4}(\mathbb{R}_{+};H)} < const \cdot \varepsilon = \varepsilon_{1}.$$

The last inequality means that the system of decreasing elementary solutions to the boundary-value problem (2), (3) is complete in the space $W^{(0,2,1)}(P)$. The theorem has been proven.

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